



**Kentucky Department of Financial Institutions  
Securities Division**

**1025 Capital Center Drive, Suite 200  
Frankfort, Kentucky 40601  
Tel (502) 573-3390; Fax (502) 573-0184  
Website: [www.kfi.ky.gov](http://www.kfi.ky.gov)**

**INTERNET WEB SITE OPERATOR REGISTRATION FORM**

1. Internet Web site Operator's Name: \_\_\_\_\_
2. Contact Person: \_\_\_\_\_
3. Business Address:  
Number and Street: \_\_\_\_\_  
P.O. Box, if applicable: \_\_\_\_\_  
City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP Code: \_\_\_\_\_
4. Telephone Number: \_\_\_\_\_
5. Email: \_\_\_\_\_
6. Uniform Resource Locator (URL) Address (Crowdfunding Site):  
\_\_\_\_\_

7. Fee Payment Information (check box):

Initial Registration: ☐

Renewal Registration: ☐

Internet Web Site Operator Filing Fee: **\$250.00**

Make your check or money order payable to: **Kentucky State Treasurer**

The following documents must be included with your application:

1. Evidence of good standing with the Kentucky Secretary of State.
2. Surety Bond (initial only).
3. A list including identity, location and contact information for all directors, executive officers, general partners, managing members, or any other person with management authority of the entity.

**By signing and submitting this form and attachments, the Internet web site operator hereby certifies that it is:**

- 1. a business entity organized pursuant to the laws of Kentucky;**
- 2. authorized to do business in the Commonwealth of Kentucky;**
- 3. engaged exclusively in intrastate offers and sales of securities in Kentucky; and**
- 4. solely engaged in the business of operating an internet web site in accordance with KRS 292.411 and KRS 292.412, the Kentucky Intrastate Crowdfunding Exemption.**

**The Internet web site operator further certifies that it, its directors, executive officers, general partners, managing members, or any other individual with managing authority has never been subject to any conviction, order, judgment, decree, or other action specified in 17 C.F.R. § 230.506(d).**

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Title

**Notary Acknowledgement**

On this the \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me \_\_\_\_\_ (print name of notary), the undersigned, \_\_\_\_\_ (print name of authorized representative), did personally appear and acknowledge himself/herself to be the authorized representative of \_\_\_\_\_ (print name of Internet web site operator) and that he/she, being authorized to do so, entered into and executed the foregoing instrument for the purposes therein contained.

In witness whereof I hereunto set my hand.

\_\_\_\_\_  
Notary Public – State At Large

Notary ID # \_\_\_\_\_

My Commission Expires: \_\_\_\_\_